

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail**

**Mail Stop ISSUE FEE**  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, Virginia 22313-1450**  
**or Fax (703) 746-4000**

**INSTRUCTIONS:** This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

7590

08/13/2004

Cassan Maclean  
 Suite 401  
 80 Aberdeen Street  
 Ottawa Ontario, K1S 5R5  
 CANADA

Customer No. 41018

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/855,596	05/16/2001	Wayne Y. Lee		9351

TITLE OF INVENTION: UNIVERSAL OPTICAL CONTROL AND MANAGEMENT PLATFORM

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	<del>\$665</del> \$685	\$300	<del>\$965</del> \$985	11/15/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
PATEL, RAMESH B	2121	700-059000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Cassan Maclean

2. \_\_\_\_\_

3. \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

(A) Dowslake Microsystems Corporation (B) Santa Clara, California

Please check the appropriate assignee category or categories (will not be printed on the patent); ☐ individual ☒ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

- ☒ Issue fee  
☒ Publication fee (No small entity discount permitted)  
☐ Advance Order - # of Copies \_\_\_\_\_

4b. Payment of Fee(s):

- ☐ A check in the amount of the fee(s) is enclosed.  
☒ Payment by credit card. Form PTO-2038 is attached.  
☒ The Director is hereby authorized to charge the required fee(s) or credit any overpayment to Deposit Account Number 502393 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☒ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is not claiming SMALL ENTITY status. See, e.g., 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered attorney or agent, or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

(Authorized Signature)

(Date)

Arturo Brion

10/18/2004

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

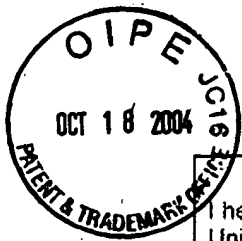
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10/19/2004 AWONDAF2 00000018 09855596

TRANSMIT THIS FORM WITH FEE(S)

01 FC:2501 685.00 DP  
 02 FC:2501-85 (Rev. 07/04) Approved for use through 07/30/2007.

OMB 0651-0033 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE



## CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper (comprising 4 pages) is being facsimile transmitted to the United States Patent and Trademarks Office on the date shown below.

Name: Amy Yablonski

Signature: Amy Yablonski

Date: October 18, 2004

Fax No. sent to: 703-746-4000

Fax No. sent from: 613-230-8755

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: Wayne Y. Lee      Customer No.: 41018  
Serial No.: 09/855,596      Filed: 05/16/2001  
Examiner: PATEL, Ramesh B.      Confirmation No.: 9351  
Group Art Unit: 2121      Docket No.: 42041-0005

Title: UNIVERSAL OPTICAL CONTROL AND MANAGEMENT PLATFORM

PAYMENT OF ISSUE FEE DUE

Mail Stop Issue Fee  
Commissioner for Patents  
Alexandria, VA 22313-1450

**BY FACSIMILE**  
**703-746-4000**

Dear Sir:

This is filed in response to the "Notice of Allowance and Fee(s) Due" due for response November 15, 2004.

We enclose completed Part B of the Notice of Allowance and Issue Fee due for this application. The amount of **\$985.00 (U.S.)** is paid by credit card (Form PTO-2038 attached) in full payment of the issue fee (\$685.00) and publication fee (\$300.00) for this application.

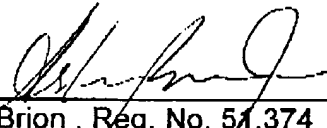
The Commissioner is authorized to charge any deficiency in the payment of the



required fee, or credit any overpayment, to Deposit Account No. 502393 (Cassan Maclean).

Respectfully submitted,  
Wayne Y. Lee et al

October 18, 2004

By:   
Arturo Brion, Reg. No. 51,374  
Agent for the Applicant

CASSAN MACLEAN  
401 - 80 Aberdeen Street  
Ottawa, ON K1S 5R5  
Canada  
Tel: (613) 238-6404